

ent-Kaurane derivatives from *Smallanthus sonchifolius*

Pham Thi Mai Huong^{a,b}, Pham Thi Cham^a, Le Thi Vien^a, Tran Thi Hong Hanh^{a,*},
Nguyen The Cuong^c, Nguyen Xuan Cuong^{a,*}, Nguyen Hoai Nam^a

^a Institute of Chemistry, Vietnam Academy of Science and Technology (VAST), 18 Hoang Quoc Viet, Nghia Do, Hanoi, Viet Nam

^b Faculty of Pharmacy, Thanh Do University, Kim Chung, Hoai Duc, Hanoi, Viet Nam

^c Institute of Biology, VAST, 18 Hoang Quoc Viet, Nghia Do, Hanoi, Viet Nam

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ABSTRACT

Phytochemical study of *Smallanthus sonchifolius* tubers led to the isolation of seven ent-kaurane derivatives, including three new compounds, namely sonchifoliosides A–C (1–3). Their structures were confirmed by a detailed analysis of the 1D and 2D NMR and HR-ESI-QTOF mass spectra. Among the isolates, 16 α ,17,19-trihydroxy-ent-kaurane 19-O- β -D-glucopyranoside (5) exhibited α -glucosidase inhibitory activity with an IC₅₀ value of 104.71 \pm 0.45 μ M.

1. Introduction

The ent-kaurane diterpenes are a class of typical tetracyclic diterpenoids, being considered as intermediates of the plant hormones gibberellins. Structurally, these compounds are constituted by a perhydrophenanthrene unit (rings A, B, and C) fused with a cyclopentane (ring D). These diterpenes are naturally found in various plants, having potential biological effects such as neuroprotective, anti-inflammatory, and cytotoxic effects (García et al., 2007; Qi et al., 2018).

Within the frame of our investigations on ent-kaurane constituents from Vietnamese medicinal plants, stevibioside A was recently reported from *Stevia rebaudiana*, exhibiting potent α -glucosidase inhibitory activity with IC₅₀ value of 8.9 \pm 0.45 μ M. In addition, this ent-kaurane glycoside revealed uncompetitive mode of inhibition with Ki value of 2.18 \pm 0.81 μ M (Hanh et al., 2024). In line with this, the current paper addresses the isolation and structure elucidation of seven ent-kaurane derivatives from *Smallanthus sonchifolius* tubers. Their α -glucosidase inhibitory effects were also evaluated.

2. Results and discussion

Seven ent-kaurane derivatives (1–7, Fig. 1) were isolated from *S. sonchifolius* tubers by using various chromatographic experiments. Extensive analysis of the 1D and 2D NMR data in comparison with the literature values led to identification of known compounds as paniculoid IV (4) (Yamasaki et al., 1977), 16 α ,17,

19-trihydroxy-ent-kaurane 19-O- β -D-glucopyranoside (5) (Satake et al., 1983), sylvestriside A (6) (Wang et al., 2009), 3 β ,16 α ,17-trihydroxy-ent-kauran-19-oic-acid (7) (Ohkoshi et al., 2004).

Compound 1 was isolated as a white amorphous powder. Its molecular formula was identified as C₃₀H₄₆O₁₀ by the HR-QTOF-MS with a quasi-molecular ion peak at m/z 601.2754 [M+Cl]⁻. ¹H and ¹³C NMR spectra revealed typical signals of an isobutyroyl moiety at δ_C 178.6 (C-1'), 35.7 (C-2'), 19.3 (C-3'), and 19.4 (C-4')/ δ_H 2.54 (1 H, qu, J = 7.0 Hz, H-2'), 1.16 (3 H, d, J = 7.0 Hz, H-3'), and 1.17 (3 H, d, J = 7.0 Hz, H-4') (Veneziani et al., 1999) and a β -D-glucopyranosyl unit at δ_C 95.6 (C-1''), 74.1 (C-2''), 78.7 (C-3''), 71.2 (C-4''), 78.7 (C-5''), and 62.4 (C-6'')/ δ_H 5.44 (1 H, d, J = 8.0 Hz, H-1''). (Tan et al., 1993). With 20 carbon atoms including typical signals of one carbonyl carbon [δ_C 178.5 (C-19)] and a 1,1-disubstituted double bond [δ_C 157.7 (C-16) and 110.1 (C-17)]/ δ_H 5.07 (1 H, s, H_a-17) and 5.10 (1 H, s, H_b-17)], the aglycone of 1 was suggested to have an ent-16-kauran-19-oic acid skeleton, one main constituents of *Smallanthus* species (Coll Aráoz et al., 2010). In addition, the signals of one quaternary oxygenated carbon [δ_C 77.8 (C-9)], one oxymethine [δ_C 80.5 (C-15)]/ δ_H 5.97 (br s, H-15)], and two tertiary methyls [δ_C 29.1 (C-18) and 18.2 (C-20)]/ δ_H 1.25 (H-18) and 1.12 (H-20), each 3 H, s] were also observed. The HMBC cross-peaks of H-20 (δ_H 1.12) with C-1 (δ_C 33.3), C-5 (δ_C 51.2), and C-9 (δ_C 77.8); H-15 (δ_H 5.97) with C-9 (δ_C 77.8); and those of H-17 (δ_H 5.07 and 5.10) with C-13 (δ_C 42.7) and C-15 (δ_C 80.5) clearly confirmed locations of the quaternary oxygenated carbon C-9 and oxymethine C-15 (Fig. 2). HMBC interactions were also observed between H-15 (δ_H 5.97) and C-1' (δ_C

* Corresponding authors.

E-mail addresses: tranhonghanh@ich.vast.vn (T.T.H. Hanh), cuongnx@ich.vast.vn (N.X. Cuong).

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178.6) as well as H-1'' (δ_{H} 5.44) and C-19 (δ_{C} 178.5) confirming esterification of the isobutyryl and β -D-glucopyranosyl moieties at C-15 and C-19, respectively. The ^1H and ^{13}C NMR data for the aglycone of **1** were essentially identical to those of β -D-glucopyranosyl-15 α -(2-methylbutanoyloxy)-9- β -hydroxy-*ent*-16-kauran-19-oate (Dong et al., 2017), suggesting that these two compounds have same configurations. The negative specific rotation (-24) of **1** further confirmed the *ent*-kaurane structure (Qi et al., 2018). This was also supported by the experimental ECD spectrum, which showed a strong negative Cotton effect at 209 nm, in comparison with the calculated spectra (using Gaussian16W, Revision C.01, Gaussian, Inc, Wallingford, CT, employing the B3LYP/6-31 g(d,p) level for MeOH with the IEFPCM model) for both the *ent*-kaurane (**1a**) and kaurane (**1b**) forms of the aglycone of **1** (Fig. 3), following previously described procedures (Ngoc et al., 2022). Moreover, NOESY interactions of H-5 (δ_{H} 1.70) with H $_{\beta}$ -7 (δ_{H} 1.60) and H-18 (δ_{H} 1.25) as well as H $_{\beta}$ -7 (δ_{H} 1.60) with H-15 (δ_{H} 5.97) unambiguously indicated β -orientation of H-15 and the methyl group at C-4 (Fig. 2). Thus, the structure of **1** was elucidated as β -D-glucopyranosyl-15 α -isobutyryl-9 β -hydroxy-*ent*-16-kauran-19-oate and named sonchifolioside A.

The ^1H and ^{13}C NMR data of **2** were similar to those of **1** (see Table 1), except for presence of a quaternary oxygenated carbon [δ_{C} 67.9 (C-16)] and an oxymethylene [δ_{C} 50.4 (C-17)/ δ_{H} 2.79 and 3.01 (each 1 H, d, $J = 6.0$ Hz, H-17)] in **2** replaced for the 1,1-disubstituted double bond in **1**. HMBC cross-peak of H-15 (δ_{H} 5.43) with C-17 (δ_{C} 50.4) clearly confirmed positions of the quaternary oxygenated carbon C-16 and the oxymethylene C-17. The carbon signals of C-16 and C-17 of **2** were strongly shifted upfield relative to those of paniculose IV (Yamasaki et al., 1977) confirming presence of an epoxy bridge at

C-16/C-17 (Sebisubi et al., 2010; Yang et al., 2007). This was further confirmed by HR-QTOF-MS with a quasi-molecular ion peak at m/z 617.2720 $[\text{M}+\text{Cl}]^-$, consistent with the molecular formula of **2** as $\text{C}_{30}\text{H}_{46}\text{O}_{11}$. Consequently, the structure β -D-glucopyranosyl-15 α -isobutyryl-9 β -hydroxy-16,17-epoxy-*ent*-kauran-19-oate was confirmed for **2** and named sonchifolioside B.

The ^1H and ^{13}C NMR data of **3** were similar to those of **2** (see Table 1), except for presence of an angeloyl moiety [δ_{C} 169.5 (C-1'), 129.6 (C-2'), 137.7 (C-3'), 15.9 (C-4'), and 20.8 (C-5')/ δ_{H} 6.07 (1 H, ddd, $J = 7.0, 1.5, 1.5$ Hz, H-3'), 1.96 (1 H, dd, $J = 7.0, 1.5$ Hz, H-4'), and 1.89 (1 H, t, $J = 1.5$ Hz, H-5')] in **3** replaced for the isobutyryl unit in **2**. This was also supported by HR-QTOF-MS with a quasi-molecular ion peak at m/z 617.2910 $[\text{M}+\text{Na}]^+$. Location of the angeloyl moiety at C-15 was indicated by HMBC cross-peak of H-15 (δ_{H} 5.55) with C-1' (δ_{C} 169.5). Thus, **3** was elucidated as β -D-glucopyranosyl-15 α -angeloyl-9 β -hydroxy-16,17-epoxy-*ent*-kauran-19-oate and named sonchifolioside C.

Isolated compounds were evaluated for their α -glucosidase inhibitory effects following previously described steps (Hanh et al., 2024). As the obtained results, only 16 α ,17,19-trihydroxy-*ent*-kaurane 19-*O*- β -D-glucopyranoside (**5**) exhibited activity with an IC_{50} value of 104.71 ± 0.45 μM , relative to that of the positive control acarbose ($\text{IC}_{50} = 46.78 \pm 1.56$ μM). Other compounds were less active at concentrations up to 200 μM .

3. Experimental

3.1. General experimental procedures

The optical rotations were determined on a JASCO P-2000

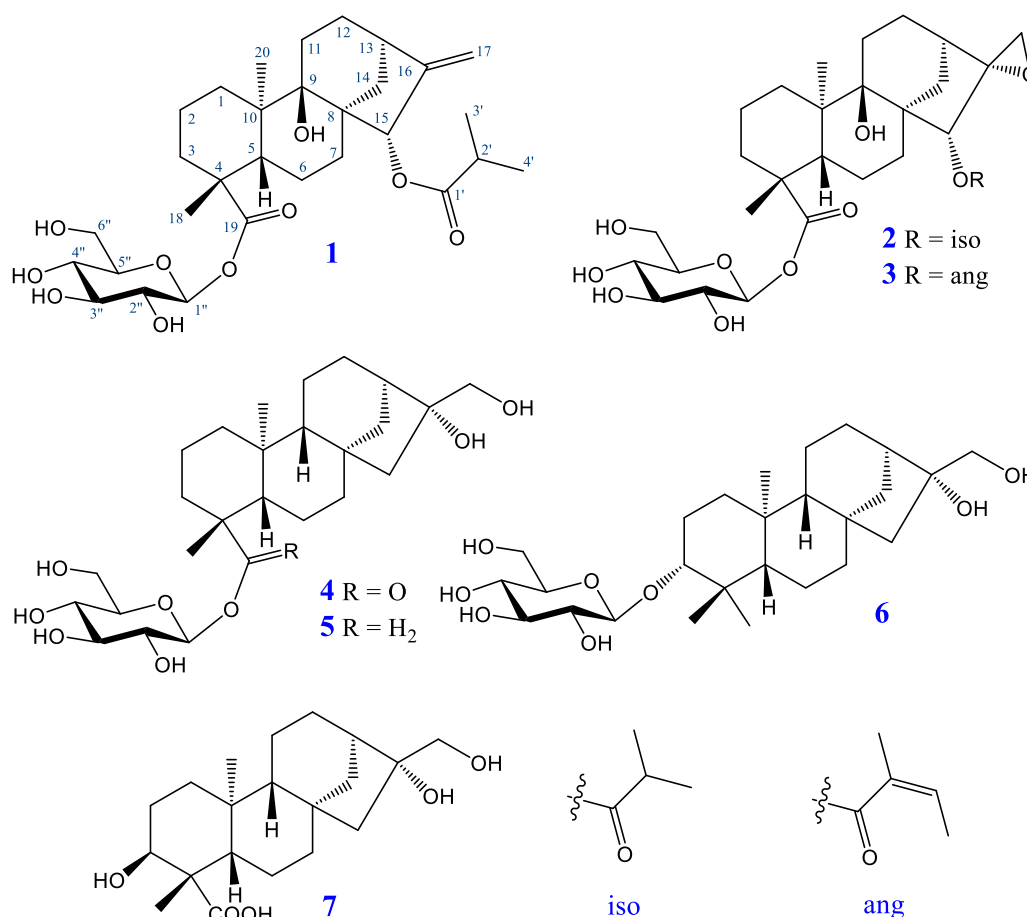


Fig. 1. The structures of compounds 1–7.

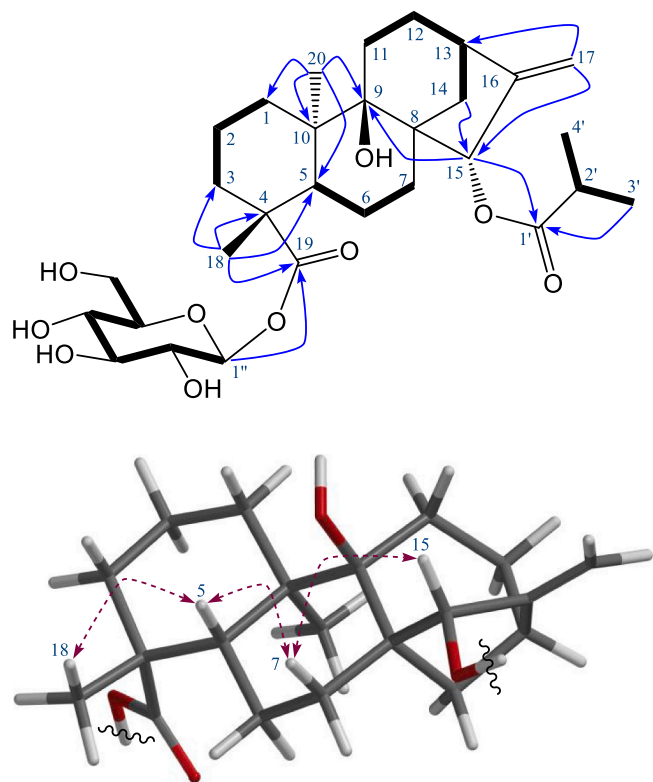


Fig. 2. Key HMBC (→), COSY (→), and NOESY (→) correlations of **1**.

polarimeter (Tokyo, Japan). CD spectra were recorded with an Applied Photophysics Chirascan spectrometer (Surrey, United Kingdom). The high-resolution mass spectra were recorded on an Agilent 6530 Accurate-Mass spectrometer (CA, USA). The ^1H NMR and ^{13}C NMR spectra were recorded on a Bruker AVANCE III HD 500 FT-NMR spectrometer (Karlsruhe, Germany) with TMS used as an internal standard.

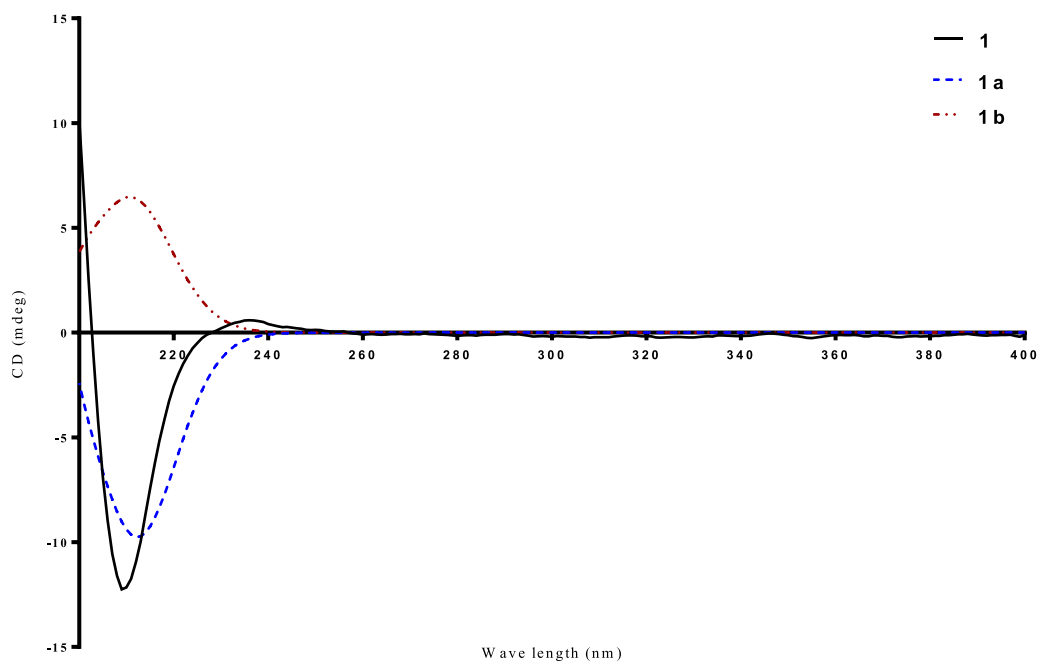


Fig. 3. Experimental ECD spectrum of **1** and calculated spectra for the *ent*-kaurane (**1a**) and kaurane (**1b**) forms of its aglycone.

Column chromatography (CC) was performed on silica gel (Kieselgel 60, 70–230 mesh and 230–400 mesh, Merck), reversed-phase silica gel (ODS-A, 12 nm S-150 μm , YMC Co., Ltd.), Sephadex LH-20 (Sigma), and Diaion HP-20 (Supelco) resins. Medium pressure liquid chromatography (MPLC) was carried out on a Biotage - Isolera One system (SE-751 03 Uppsala, Sweden). HPLC purification was carried out on an Agilent 1260 Infinity II system equipped with a G7112B Binary Pump, a G7115A diode array detector, and a G7129A auto-sampler). TLC used precoated silica gel 60 F₂₅₄ (Merck) and RP-18 F_{254s} plates (Merck), and the spots were visualized by spraying with aqueous 10 % H_2SO_4 , which was followed by heating for 3–5 min. ECD calculations were carried out on Dell Precision 5820 systems equipped with an Intel Xeon W-2175 2.50 GHz processor, 32 GB RAM, and Windows 11 Pro for Workstations.

3.2. Plant material

The *Smallanthus sonchifolius* (Poepp.) H. Rob. (Asteraceae) samples were collected at Bat Xat, Lao Cai, Vietnam, in May 2024. The voucher specimen (No: SS.5.2024) was identified by Dr. Nguyen The Cuong and deposited at the Institute of Biology, VAST, Vietnam.

3.3. Extraction and isolation

The *S. sonchifolius* tubers were dried (3 kg), powdered, and extracted with MeOH (3 \times 3 L) under ultrasonic conditions (1 h each) to obtain the MeOH extract (M, 500 g). Extract M was suspended in water (2 L) and partitioned in turn with *n*-hexane, CH_2Cl_2 , and EtOAc to obtain the *n*-hexane (H, 70 g), CH_2Cl_2 (D, 25), EtOAc (E, 15) extracts and water layer. Extracts D (25 g) and E (15 g) were combined and separated into six fractions, D1–D6, using silica gel MPLC with mobile phase of CH_2Cl_2 –MeOH (gradient 100:1 \rightarrow 1:100, v/v). Fraction D3 (4 g) was further separated on RP-18 MPLC with MeOH– H_2O (gradient 10:10 \rightarrow 10:1, v/v) to obtain seven subfractions, D3A–D3G. Subfraction D3C (51 mg) was divided into two smaller fractions, D3C1 (20 mg) and D3C2 (30 mg), using Sephadex LH-20 CC with MeOH– H_2O (1:1, v/v). Purification of D3C1 (20 mg) on HPLC (column: Cosmosil 5C18-MS-II, 250 \times 20 mm, 5 μm ; flow rate: 2.5 ml/min; MeOH– H_2O 55:45) obtained **2** (1.6 mg). Fraction D3C2 (30 mg) was treated by the same

Table 1
¹H and ¹³C NMR spectroscopic data of 1–3 in CD₃OD.

No	1		2		3	
	δ _c ^a	δ _H ^b mult. (J in Hz)	δ _c ^a	δ _H ^b mult. (J in Hz)	δ _c ^a	δ _H ^b mult. (J in Hz)
1	33.3	1.50 m/ 1.78 m	33.4	1.53 m/ 1.80 m	33.4	1.53 m/ 1.80 m
2	20.1	1.45 m/ 1.97 m	20.0	1.50 m/ 2.00 m	20.2	1.50 m/ 2.00 m
3	38.7	1.08 m/ 2.17 m	38.8	1.08 m/ 2.17 m	38.8	1.08 m/ 2.18 m
4	45.2	-	45.2	-	45.2	-
5	51.2	1.70 dd (13.0, 2.0)	51.1	1.73 dd (12.5, 2.0)	51.1	1.75 m
6	22.0	1.86 m/ 2.02 m	21.9	1.90 m/ 2.10 m	22.0	1.89 m/ 2.10 m
7	31.0	1.50 m/ 1.60 m	31.1	1.65 m	31.4	1.65 m
8	54.1	-	54.7	-	54.7	-
9	77.8	-	77.3	-	77.3	-
10	45.7	-	45.7	-	45.7	-
11	29.5	1.27 m/ 2.00 m	30.9	1.31 m/ 2.17 m	31.0	1.32 m/ 2.20 m
12	34.9	1.57 m/ 1.74 m	30.8	1.68 m	30.8	1.69 m
13	42.7	2.74 br s	41.5	1.79 br s	41.5	1.81 br s
14	38.7	1.55 m/2.28 br d (12.5)	37.8	1.80 m/2.34 br d (11.5)	38.0	1.82 m/2.36 br d (11.0)
15	80.5	5.97 br s	79.3	5.43 br s	79.4	5.55 br s
16	157.7	-	67.9	-	68.0	-
17	110.1	5.07 s/5.10 s	50.4	2.79 d (6.0)/ 3.01 d (6.0)	50.5	2.81 d (6.0)/ 3.08 d (6.0)
18	29.1	1.25 s	29.1	1.26 s	29.1	1.26 s
19	178.5	-	178.6	-	178.6	-
20	18.2	1.12 s	18.3	1.14 s	18.3	1.15 s
Iso					Arg	
1'	178.6	-	178.6	-	169.5	-
2'	35.7	2.54 qu (7.0)	35.4	2.56 qu (7.0)	129.6	-
3'	19.3	1.16 d (7.0)	19.5	1.17 d (7.0)	137.7	6.07 ddd (7.0, 1.5, 1.5)
4'	19.4	1.17 d (7.0)	19.5	1.17 d (7.0)	15.9	1.96 dd (7.0, 1.5)
5'					20.8	1.89 t (1.5)
Glc						
1''	95.6	5.44 d (8.0)	95.6	5.44 d (8.0)	95.6	5.44 d (8.0)
2''	74.1	3.40 m	74.1	3.40 m	74.1	3.40 m
3''	78.7	3.40 m	78.8	3.40 m	78.8	3.40 m
4''	71.2	3.40 m	71.2	3.40 m	71.1	3.40 m
5''	78.7	3.41 m	78.8	3.41 m	78.8	3.41 m
6''	62.4	3.71 dd (12.0, 4.5)	62.4	3.70 dd (12.0, 4.5)	62.4	3.71 dd (12.0, 4.0)
		3.86 dd		3.86 dd		3.85 br d (12.0)
		(12.0, 1.5)		(12.0, 1.5)		

^a 125 MHz.

^b 500 MHz.

manner as D3C1 to yield **3** (5.5 mg). Subfraction D3E (32 mg) was purified on Shephadex LH-20 CC with MeOH–H₂O (1:1, v/v) and then on silica gel CC with CH₂Cl₂–acetone (1:1.5, v/v) to give **1** (2.1 mg). Fraction D5 (7.5 g) was further separated on silica gel CC with CH₂Cl₂–MeOH–H₂O (6:1:0.05, v/v) to give six subfractions, D5A–D5F. Subfraction D5E (1.1 g) was divided into five smaller fractions, D5E1–D5E5, using reverse phase silica gel CC with acetone–H₂O (1:1.5, v/v). Compounds **4** (3.5 mg) and **5** (2.2 mg) were purified from fraction D5E4 (120 mg) after subjecting it to silica gel CC with CH₂Cl₂–MeOH–H₂O (5:1:0.1, v/v). Subfraction D5F (0.9 g) was divided into four smaller fractions, D5F1–D5F4, using silica gel CC with CH₂Cl₂–MeOH–H₂O (5:1:0.05, v/v). Purification of D5F2 (55 mg) on Shephadex LH-20 CC with MeOH–H₂O (1:1, v/v) and then on HPLC (column: Cosmosil 5C18-MS-II, 250 × 20 mm, 5 μm; flow rate: 2.5 ml/min; MeOH–H₂O 48:52) obtained **6** (5.5 mg). Similarly, **7** (4.0 mg) was purified from D3F3 (65 mg) after subjecting it to Shephadex LH-20 CC

with MeOH–H₂O (1:1, v/v) and then on HPLC (column: Cosmosil 5C18-MS-II, 250 × 20 mm, 5 μm; flow rate: 2.5 ml/min; ACN–H₂O 29:71).

3.3.1. Sonchifolioside A (1)

White amorphous powder, $[\alpha]_D^{20} = -24.0$ (c 0.08, MeOH); CD (MeOH) λ_{max} (mdeg): 209 (–12.26) and 236 (+0.58) nm; ¹H (CD₃OD, 500 MHz) and ¹³C NMR (CD₃OD, 125 MHz) data are given in Table 1; HR-QTOF-MS *m/z* 601.2754 [M+Cl][–] (calcd. for C₃₀H₄₆ClO₁₀, 601.2785).

3.3.2. Sonchifolioside B (2)

White amorphous powder, $[\alpha]_D^{20} = -20.0$ (c 0.05, MeOH); CD (MeOH) λ_{max} (mdeg): 215 (–2.75) and 238 (+1.16) nm; ¹H (CD₃OD, 500 MHz) and ¹³C NMR (CD₃OD, 125 MHz) data are given in Table 1; HR-QTOF-MS *m/z* 617.2720 [M+Cl][–] (calcd. for C₃₀H₄₆ClO₁₁, 617.2734).

3.3.3. Sonchifolioside C (3)

White amorphous powder, $[\alpha]_D^{20} = -47.0$ (c 0.05, MeOH); CD (MeOH) λ_{max} (mdeg): 221 (–5.35) and 243 (+4.94) nm; ¹H (CD₃OD, 500 MHz) and ¹³C NMR (CD₃OD, 125 MHz) data are given in Table 1; HR-QTOF-MS *m/z* 617.2910 [M+Na]⁺ (calcd. for C₃₁H₄₆NaO₁₁, 617.2932).

3.4. α-Glucosidase inhibitory assay

α-Glucosidase inhibitory assay was carried out using the previously described method (Bharadwaj et al., 2018) with slight modifications (Hanh et al., 2024). Briefly, compounds **1–7** were dissolved in DMSO at 10 mg/ml stock concentration and diluted with phosphate buffer pH 6.8 to the desired concentration. The reaction mixture in a 96 wells plate contained 50 μL of samples at different concentrations and 100 μL (0.1 units/ml) of α-glucosidase enzyme from *Saccharomyces cerevisiae* E.C 3.2.1.20 (19.3 U/mg, G5003, Sigma, USA). The reaction mixture was incubated at 37 °C for 10 min. Then, 50 μL of *p*-nitrophenyl-α-D-glucopyranoside (*p*-NPG, 5 mM, Sigma, USA) was added to the reaction mixture and incubated at 37 °C for 30 min. Immediately preceding analysis, 100 μL of sodium carbonate (200 mM) solution was added to the reaction mixture to retard the enzyme activity. The blank for the reaction was prepared by replacing the sample and enzyme with buffer. Similarly, the control was prepared using a buffer in place of the sample. Also, blank solutions were prepared for each sample by replacing an enzyme with a buffer. The absorbance of *p*-nitrophenol released during the reaction was quantified by reading at 405 nm using the microreader (Epoch, Biotek, USA). Acarbose was used as a positive control for the assay. The percentage of α-glucosidase enzyme inhibition was calculated using the following formula:

$$\text{Inhibition(\%)} = \left[1 - \frac{A_{\text{sample}}}{A_{\text{control}}} \right] \times 100$$

where % inhibition is the percentage of inhibition, A_{sample} is the corrected absorbance of the extracts or isolated compounds under testing $[A_{\text{sample(initial)}} - A_{\text{blank-sample}} - A_{\text{blank-plate}}]$, and A_{control} is the absorbance of the negative control $[A_{\text{negative-control(initial)}} - A_{\text{blank-plate}}]$. The half-maximal inhibitory concentration (IC₅₀) was calculated as the compound concentration that is required for 50 % inhibition using GraphPad Prism version 6.0 for Windows (GraphPad Software).

CRedit authorship contribution statement

Tran Thi Hong Hanh: Writing – review & editing, Data curation. **Le Thi Vien:** Investigation. **Pham Thi Cham:** Investigation. **Pham Thi Mai Huong:** Writing – original draft, Investigation. **Nguyen Hoai Nam:** Writing – review & editing, Supervision. **Nguyen Xuan Cuong:** Writing – review & editing, Data curation. **Nguyen The Cuong:** Investigation.

Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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Appendix A. Supporting information

Supplementary data associated with this article can be found in the online version at [doi:10.1016/j.phytol.2025.104061](https://doi.org/10.1016/j.phytol.2025.104061).

Data Availability

Data will be made available on request.

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